

(1390 REV. 5-93) US DEPT. OF COMMERCE PATENT & TRADEMARK OFFICE

**TRANSMITTAL LETTER TO THE  
UNITED STATES  
DESIGNATED/ELECTED OFFICE  
(DO/EO/US) CONCERNING A FILING  
UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER  
110443

U.S. APPLICATION NO.  
(if known, sec 37 C.F.R.1.5)  
09/914,293

RECEIVED  
MAR 11 2002  
TECHNOLOGY CENTER 2800

INTERNATIONAL APPLICATION NO.  
PCT/JP00/09241

INTERNATIONAL FILING DATE  
December 26, 2000

PRIORITY DATE CLAIMED  
December 27, 1999

TITLE OF INVENTION  
SOLAR CELL AND SOLAR CELL UNIT

APPLICANT(S) FOR DO/EO/US  
Yuji FUJIMORI and Tsutomu MIYAMOTO

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

**Items 11. to 16. below concern other document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.
- ☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ Entitlement to small entity status is hereby asserted.
16. ☒ Other items or information: Notification of Acceptance and Filing Receipt Status Request

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) 09/914,293		INTERNATIONAL APPLICATION NO. PCT/JP00/09241		ATTORNEY'S DOCKET NUMBER 110443	
---	--	--	--	---------------------------------	--

  

17. <input type="checkbox"/> The following fees are submitted:  <b>Basic National fee (37 CFR 1.492(a)(1)-(5)):</b>  Search Report has been prepared by the EPO or JPO .... \$890.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) ..... \$710.00  No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) ..... \$740.00  Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$1,040.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) ..... \$ 100.00  <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>	<b>CALCULATIONS</b>	<b>PTO USE ONLY</b>																
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).																		
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 20%;">Claims</th> <th style="width: 20%;">Number Filed</th> <th style="width: 10%;">Number Extra</th> <th style="width: 10%;">Rate</th> </tr> <tr> <td>Total Claims</td> <td style="text-align: center;">- 20 =</td> <td></td> <td>X \$ 18.00</td> </tr> <tr> <td>Independent Claims</td> <td style="text-align: center;">- 3 =</td> <td></td> <td>X \$ 84.00</td> </tr> <tr> <td colspan="3">Multiple dependent claim(s)(if applicable)</td> <td>+ \$280.00</td> </tr> </table>	Claims	Number Filed	Number Extra	Rate	Total Claims	- 20 =		X \$ 18.00	Independent Claims	- 3 =		X \$ 84.00	Multiple dependent claim(s)(if applicable)			+ \$280.00		
Claims	Number Filed	Number Extra	Rate															
Total Claims	- 20 =		X \$ 18.00															
Independent Claims	- 3 =		X \$ 84.00															
Multiple dependent claim(s)(if applicable)			+ \$280.00															
<b>TOTAL OF ABOVE CALCULATIONS =</b>																		
Reduction by 1/2 for filing by small entity, if applicable.	-																	
<b>SUBTOTAL =</b>																		
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 month from the earliest claimed priority date (37 CFR 1.492(f)).	+																	
<b>TOTAL NATIONAL FEE =</b>																		
	Amount to be refunded	\$																
	Charged	\$																

  

a. <input type="checkbox"/> Check No. _____ in the amount of \$_____ to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. <u>15-0461</u> . A duplicate copy of this sheet is enclosed.	<p><b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b></p> <p>SEND ALL CORRESPONDENCE TO:          OLIFF &amp; BERRIDGE, PLC          P.O. Box 19928          Alexandria, Virginia 22320</p>
---	---

  

Date: <u>February 19, 2002</u>	<div style="text-align: center;">          NAME: James A. Oliff          REGISTRATION NUMBER: 27,075       </div> <div style="text-align: center; margin-top: 20px;">          NAME: Joel S. Armstrong          REGISTRATION NUMBER: 36,430       </div>
--------------------------------	--

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of

Yuji FUJIMORI et al.

ATTN: PCT Branch

Application No.: 09/914,293

Docket No.: 110443

Filed: November 6, 2001

For: SOLAR BATTERY AND SOLAR BATTERY UNIT

**NOTIFICATION OF ACCEPTANCE AND FILING RECEIPT STATUS REQUEST**

Director of the U.S. Patent and Trademark Office  
Washington, D.C. 20231

Sir:

The above-captioned patent application was filed on August 27, 2001. The requirements under 35 U.S.C 371 were fulfilled on November 6, 2001. A copy of the PTO-stamped receipt is attached hereto.

The original Notification of Acceptance and Filing Receipt have not yet been received. It is respectfully requested that the original Notification of Acceptance and Filing Receipt be immediately forwarded to the attorneys of record at the address set forth below.

If there are any questions regarding this matter, please contact the undersigned at the telephone number set forth below.

Respectfully submitted,



James A. Oliff  
Registration No. 27,075

Joel S. Armstrong  
Registration No. 36,430

JAO:JSA/zmc  
Date: February 19, 2002  
**OLIFF & BERRIDGE, PLC**  
**P.O. Box 19928**  
**Alexandria, Virginia 22320**  
**Telephone: (703) 836-6400**

**PTO RECEIPT FOR FILING OF PAPERS**

**The following papers have been filed:**

PCT Transmittal, Response to Notification of Missing Requirements w/ck#124616(\$130),  
Notification of Missing Requirements, fax copy of executed Declaration.

**Name of Applicant:** Yuji FUJIMORI & Tsutomu MIYAMOTO

**Serial No.:** 09/914,293

**Atty. File No.:** 110443

**Title (New Cases):** SOLAR CELL AND SOLAR CELL UNIT

**Sender's Initials:** JAO:EDM/gam

6

37/42

RECEIVED  
MAR 11 2002  
TECHNOLOGY CENTER 2800

**PATENT OFFICE DATE STAMP**



6

**COPY TO BE STAMPED BY PATENT OFFICE  
AND RETURNED BY MESSENGER**